

12/17/01

JC923 U.S. PTO

PTO/SB/05(11-00)

ed for use through 10/31/2002. OMB 0651-0032

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. 53921/208

First Inventor or Application Identifier

Jay Richard Sobel

Title VISUAL INDICATION OF EQUIPMENT OPERATION

Express Mail Label No.

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ \*Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status. See 37 CFR127.
3. ☒ Specification [Total Pages 21]  
(preferred arrangement set forth below)
- Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
5. Oath or Declaration [Total Sheets 3]
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 18 completed)
- i. ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37C.F.R. §§ 1.63(d)(2) and 1.33(b)
6. ☐ Application Data Sheet. See CFR 1.76

ADDRESS TO:

Assistant Commissioner for Patents

Box Patent Application

Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix).
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable
- b. ☐ Specification Sequence Listing on:
- (i) ☐ CD-ROM or CD-R (2 copies); or
  - (ii) ☐ paper
- c. ☐ Statement verifying identity of above copies

**ACCOMPANY APPLICATION PARTS**

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. 3.73(b) Statement ☒ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of Statement (DS)/PTO-1499 IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(I). Application must attach form PTO/SB/35 or its equivalent.
17. ☐ Other

18. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment: or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_ / \_\_\_\_\_  
Prior Application information: Examiner: \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

For **CONTINUATION** or **DIVISIONAL APPS** only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**☒ Customer Number or Bar Code Label

27871

(Insert Customer No. or Attach bar code label here)

or ☒ Correspondence address below

Name

BLAKE, CASSELS &amp; GRAYDON LLP

Address

Box 25, Commerce Court West  
199 Bay Street

City

Toronto

State

Ontario

Zip Code

M5L 1A9

Country

Canada

Telephone

416.863.2400

Fax

416.863.2653

Name  
(Print/Type)

Robert H. Nakano

Registration No. (Attorney/Agent)

46,498

Signature

Date

Dec 14, 2001

20963586.1

# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision

Complete if Known

Application Number  
Filing Date  
First Named Inventor SOBEL, Jay Richard  
Examiner Name  
Group/Art Unit  
Attorney Docket No. 53921/208

TOTAL AMOUNT OF PAYMENT (\$1056.00)

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2553

Deposit Account Name Blake, Cassels & Graydon LLP

- ☒ Charge Any Additional Fee Required Under 37 CFR §§1.16 and 1.17

2. ☒ Payment Enclosed:

☒ Check ☐ Credit Card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description        | Fee Paid |
|----------------|-----------------|----------------|-----------------|------------------------|----------|
| 101            | 740             | 201            | 370             | Utility filing fee     | 740      |
| 106            | 330             | 206            | 165             | Design filing fee      |          |
| 107            | 510             | 207            | 255             | Plant filing fee       |          |
| 108            | 740             | 208            | 370             | Reissue filing fee     |          |
| 114            | 160             | 214            | 80              | Provisional filing fee |          |
| SUBTOTAL (1)   |                 |                |                 |                        | (\$740)  |

### 2. EXTRA CLAIM FEES

|                       |    |        | Extra<br>Claims |   | Fee from<br>below |   | Fee<br>Paid |
|-----------------------|----|--------|-----------------|---|-------------------|---|-------------|
| Total Claims          | 26 | -20**= | 6               | X | 18                | = | 108         |
| Independent<br>Claims | 5  | -3**=  | 2               | X | 84                | = | 168         |
| Multiple<br>Dependent |    |        |                 |   |                   | = |             |

\*\*or number previously paid, if greater; For Reissues, see below

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description   |
|----------------|-----------------|----------------|-----------------|---|
| 103            | 18              | 203            | 9               | Claims in excess of 20                                    |
| 102            | 84              | 202            | 42              | Independent claims in excess of 3                         |
| 104            | 280             | 204            | 140             | Multiple dependent claim, if not paid                     |
| 109            | 84              | 209            | 42              | **Reissue independent claims over original patent         |
| 110            | 18              | 210            | 9               | **Reissue claims in excess of 20 and over original patent |
| SUBTOTAL (2)   |                 |                |                 | (\$)276   |

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | Fee Description  | Fee Paid |
|----------------|-----------------|----------------|-----------------|--|----------|
| 105            | 130             | 205            | 65              | Surcharge - late filing fee or oath  |          |
| 127            | 50              | 227            | 25              | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139            | 130             | 139            | 130             | Non-English specification  |          |
| 147            | 2,520           | 147            | 2,520           | For filing a request for reexamination                                     |          |
| 112            | 920*            | 112            | 920*            | Requesting publication of SIR prior to Examiner action                     |          |
| 113            | 1,840*          | 113            | 1,840*          | Requesting publication of SIR after Examiner action                        |          |
| 115            | 110             | 215            | 55              | Extension for reply within first month                                     |          |
| 116            | 390             | 216            | 195             | Extension for reply within second month                                    |          |
| 117            | 890             | 217            | 445             | Extension for reply within third month                                     |          |
| 118            | 1,390           | 218            | 695             | Extension for reply within fourth month                                    |          |
| 128            | 1,890           | 228            | 945             | Extension for reply within fifth month                                     |          |
| 119            | 310             | 219            | 155             | Notice of Appeal   |          |
| 120            | 310             | 220            | 155             | Filing a brief in support of an appeal                                     |          |
| 121            | 270             | 221            | 135             | Request for oral hearing   |          |
| 138            | 1,510           | 138            | 1,510           | Petition to institute a public use proceeding                              |          |
| 140            | 110             | 240            | 55              | Petition to revive - unavoidable   |          |
| 141            | 1,240           | 241            | 620             | Petition to revive - unintentional   |          |
| 142            | 1,240           | 242            | 620             | Utility issue fee (or reissue)   |          |
| 143            | 440             | 243            | 220             | Design issue fee   |          |
| 144            | 600             | 244            | 300             | Plant issue fee  |          |
| 122            | 130             | 122            | 130             | Petitions to the Commissioner  |          |
| 123            | 50              | 123            | 50              | Processing fee under 37 CFR 1.17(q)  |          |
| 126            | 180             | 126            | 180             | Submission of Information Disclosure Stmt                                  |          |
| 581            | 40              | 581            | 40              | Recording each patent assignment per property (times number of properties) | 40       |
| 146            | 710             | 246            | 355             | Filing a submission after final rejection (37 CFR §1.129(a))               |          |
| 149            | 710             | 249            | 355             | For each additional invention to be examined (37 CFR §1.129(b))            |          |
| 179            | 710             | 279            | 355             | Request for Continued Examination (RCE)                                    |          |
| 169            | 900             | 169            | 900             | Request for expedited examination of a design application                  |          |

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$40)

The PTO did not receive the following listed items: *Robert H. Nakano* *12/14/2001* *not recorded received 950.00*

|                   |                  |                                   |              |
|-------------------|------------------|-----------------------------------|--------------|
| 710               |                  | Complete (if applicable)          |              |
| Name (Print/Type) | Robert H. Nakano | Registration No. (Attorney/Agent) | 46,498       |
| Signature         |                  | Telephone                         | 416.863.2785 |
|                   |                  | Date                              | Dec 14, 2001 |